

Advanced Energy Conflict Minerals Policy

The U.S. Securities and Exchange Commission (SEC) adopted final rules to implement reporting and disclosure requirements related to “conflict minerals,” as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. The rules require manufacturers who file certain reports with the SEC to disclose whether the products they manufacture or contract to manufacture contain conflict minerals that are necessary to the functionality or production of those products.

The definition of conflict minerals refers to gold, tin, tantalum, and tungsten, the derivatives of cassiterite, columbite-tantalite, and wolframite, regardless of where they are sourced, processed or sold. The U.S. Secretary of State may designate other minerals in the future. Advanced Energy supports these requirements to further the humanitarian goal of ending violent conflict in the Democratic Republic of the Congo (DRC) and in surrounding countries, which has been partially financed by the exploitation and trade of conflict minerals.

Our Commitment

- We will support the aims and objectives of the U.S. legislation on the supply of conflict minerals.
- We will not knowingly procure specified metals that originate from facilities in the conflict region that are not certified as conflict free.
- We will ensure compliance with these requirements, and ask our suppliers to undertake reasonable due diligence with their supply chains to assure that specified metals are being sourced only from mines and smelters outside the conflict region or mines and smelters which have been certified by an independent third party as conflict free if sourced within the conflict region.

This due diligence includes having our suppliers provide written evidence documenting that raw materials used to produce gold, tin, tantalum and tungsten, used in the materials to manufacture components and products supplied to Advanced Energy, do not originate from mining or smelting operations in the conflict region. If such materials are reported to originate from within the conflict region, we will request documentation demonstrating that the mines or smelters are certified as conflict free by an independent third party. The goal is to ensure that only conflict free materials and components are used in products that we procure.